

TO: Board of Regents
 FROM: Deborah Kraft
 DATE: December 13, 2013
 SUBJECT: Investment Transactions Report

Report Date: November 30, 2013

Investment Position:

As of November 30, 2013, Alvin Community College had \$ 4,200,000 invested in three certificates of deposit purchased through First National Bank-Alvin, one certificate of deposit purchased through Texas First Bank and one certificate purchased through BBVA Compass Bank.

Summary:

Beginning market value		9/1/2013	\$	5,450,000
Additions/changes to the market value:				
	a.	sales of CDs		(11,100,000)
	b.	purchases of CDs, value at 11/30/13		9,850,000
Ending market value		11/30/2013	\$	4,200,000
Fully accrued interest			\$	2,124

Pooled Funds:

The \$ 4,200,000 currently invested was taken from the following major fund groups:
 \$ 4,200,000 General Fund 11

We certify that the investments made during this reporting period are in compliance with Alvin Community College's Investment Policy and the Texas Government Code.


 Karl Stager


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ALVIN COMMUNITY COLLEGE
Investment Schedule

Below is a list of Alvin Community College's investments for the period September 1, 2013 through November 30, 2013. All securities have been purchased according to the investment policy approved by the Board of Regents at the August 22, 2013 board meeting.

CD No.	Purchase Date	Due Date	Interest Rate	Term	Days Held	Principal	Interest Earned	Accrued Interest	Total
BEGINNING INVESTMENTS:									
200000033	08/05/13	09/17/13	0.140%	43		1,300,000			1,300,000
200000034	08/05/13	10/01/13	0.140%	57		1,600,000			1,600,000
200000035	08/05/13	10/17/13	0.140%	73		1,000,000			1,000,000
200000041	08/21/13	10/01/13	0.160%	41		1,300,000			1,300,000
30006431	02/11/13	02/11/15	0.700%	730		250,000			250,000
Subtotal for CD Investments for Beginning of the Period						\$ 5,450,000	\$ -	\$ -	\$ 5,450,000
SALES:									
200000033	08/05/13	09/17/13	0.140%	43		1,300,000			1,300,000
200000034	08/05/13	10/01/13	0.140%	57		1,600,000			1,600,000
200000035	08/05/13	10/17/13	0.140%	73		1,000,000			1,000,000
200000041	08/21/13	10/01/13	0.160%	41		1,300,000			1,300,000
200000044	09/17/13	10/01/13	0.120%	14		2,000,000	92		2,000,092
200000045	10/01/13	10/18/13	0.120%	17		1,500,000	84		1,500,084
200000046	10/02/13	11/01/13	0.120%	30		1,400,000	138		1,400,138
200000047	10/01/13	11/19/13	0.120%	49		1,000,000	161		1,000,161
Total Sales						11,100,000	475	-	11,100,475
PURCHASES:									
200000044	09/17/13	10/01/13	0.120%	14		2,000,000			2,000,000
200000045	10/01/13	10/18/13	0.120%	17		1,500,000			1,500,000
200000046	10/02/13	11/01/13	0.120%	30		1,400,000			1,400,000
200000047	10/01/13	11/19/13	0.120%	49		1,000,000			1,000,000
200000050	10/21/13	12/02/13	0.240%	42		1,100,000			1,100,000
200000052	11/01/13	12/11/13	0.155%	40		1,500,000			1,500,000
200000060	11/22/13	01/08/14	0.190%	47		1,100,000			1,100,000
6719567639	11/06/13	04/06/16	1.242%	882		250,000			250,000
Total Purchases						9,850,000	-	-	9,850,000
ENDING INVESTMENTS:									
200000050	10/21/13	12/02/13	0.240%	42	40	1,100,000		289	1,100,289
200000052	11/01/13	12/11/13	0.155%	40	29	1,500,000		185	1,500,185
200000060	11/22/13	01/08/14	0.190%	47	8	1,100,000		46	1,100,046
30006431	02/11/13	02/11/15	0.700%	730	292	250,000		1,400	251,400 ^a
6719567639	11/06/13	04/06/16	1.242%	882	24	250,000		204	250,204 ^b
Total for End of Period for CD Investments						\$ 4,200,000	\$ 2,124	\$ -	\$ 4,202,124 ^b

^a Texas First Bank

^b BBVA Compass Bank

ALVIN COMMUNITY COLLEGE FOUNDATION
Investment Schedule

Below is a list of Alvin Community College Foundation's investments for the period September 1, 2013 through November 30, 2013. All securities have been purchased according to the investment policy approved by the ACC Foundation Board of Directors at the October 9, 2012 board meeting.

BEGINNING INVESTMENTS 09/01/13	\$	2,173,034
Increases In Account		
Deposits		4,365
Sales Proceeds/Redemptions		-
Dividends		11,584
Interest		3
Capital Gains		48,075
Securities Purchased		59,659
Positive Change in value of priced securities		141,601
Decreases in Account		
Fees		(5,059)
Cash Withdrawals		(34,325)
Funds Used to Purchase Securities		(59,659)
Securities sold/redeemed		
Negative Change in value of priced securities		<u>(15,241)</u>
ENDING INVESTMENTS 11/30/13	\$	<u>2,324,037</u>