

TO: Board of Regents  
 FROM: Deborah Kraft  
 DATE: March 5, 2013  
 SUBJECT: Investment Transactions Report

Report Date: February 28, 2013

Investment Position:

As of February 28, 2013 Alvin Community College had \$ 11,250,000 invested in six certificates of deposit purchased through First National Bank-Alvin and one certificate of deposit purchased through Texas First Bank.

Summary:

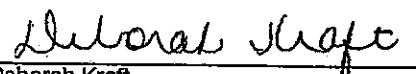
Beginning market value		12/1/2012	\$	5,000,000
Additions/changes to the market value:				
	a.	sales of CDs		(11,500,000)
	b.	purchases of CDs, value at 2/28/13		17,750,000
Ending market value		2/28/2013	\$	11,250,000
Fully accrued interest			\$	980

Pooled Funds:

The \$ 11,250,000 currently invested was taken from the following major fund groups:  
 \$ 11,250,000 General Fund 11

We certify that the investments made during this reporting period are in compliance with Alvin Community College's Investment Policy and the Texas Government Code.

  
 Karl Stager

  
 Deborah Kraft

Alvin Community College  
 3110 Mustang Road  
 Alvin, TX 77511  
 (281) 756-3509  
[dkraft@alvincollege.edu](mailto:dkraft@alvincollege.edu)

**ALVIN COMMUNITY COLLEGE**  
Investment Schedule

Below is a list of Alvin Community College's investments for the period December 1, 2012 through February 28, 2013. All securities have been purchased according to the investment policy approved by the Board of Regents at the August 23, 2012 board meeting.

CD No.	Purchase Date	Due Date	Interest Rate	Term	Days Held	Principal	Interest Earned	Accrued Interest	Total
<b>BEGINNING INVESTMENTS:</b>									
200002486	10/30/12	12/11/12	0.210%	42		1,000,000			1,000,000
200002488	11/09/12	12/17/12	0.215%	38		1,000,000			1,000,000
200002491	11/19/12	12/17/12	0.200%	28		1,500,000			1,500,000
200002492	11/19/12	01/02/13	0.200%	44		1,000,000			1,000,000
200002494	11/30/12	01/18/13	0.210%	49		500,000			500,000
Subtotal for CD Investments for Beginning of the Period						\$ 5,000,000	\$ -		\$ 5,000,000
<b>SALES:</b>									
200002486	10/30/12	12/11/12	0.210%	42		1,000,000	242		1,000,242
200002488	11/09/12	12/17/12	0.215%	38		1,000,000	224		1,000,224
200002491	11/19/12	12/17/12	0.200%	28		1,500,000	230		1,500,230
200002492	11/19/12	01/02/13	0.200%	44		1,000,000	241		1,000,241
200002494	11/30/12	01/18/13	0.210%	49		500,000	141		500,141
200002499	12/11/12	01/18/13	0.200%	38		1,000,000	208		1,000,208
200002500	12/17/12	01/22/13	0.200%	36		2,500,000	493		2,500,493
200002501	12/17/12	01/31/13	0.200%	45		1,500,000	370		1,500,370
200002506	01/02/13	01/18/13	0.195%	16		1,500,000	128		1,500,128
Total Sales						11,500,000	2,277		11,502,277
<b>PURCHASES:</b>									
200002499	12/11/12	01/18/13	0.200%	38		1,000,000			1,000,000
200002500	12/17/12	01/22/13	0.200%	36		2,500,000			2,500,000
200002501	12/17/12	01/31/13	0.200%	45		1,500,000			1,500,000
200002506	01/02/13	01/18/13	0.195%	16		1,500,000			1,500,000
200002524	02/21/13	04/19/13	0.195%	57		1,200,000			1,200,000
200002522	02/15/13	04/15/13	0.185%	59		2,300,000			2,300,000
200002519	02/11/13	03/28/13	0.185%	45		1,500,000			1,500,000
200002514	01/18/13	03/20/13	0.185%	61		2,000,000			2,000,000
200002517	01/28/13	03/04/13	0.185%	35		1,000,000			1,000,000
200002531	02/28/13	04/30/13	0.235%	61		3,000,000			3,000,000
30006431	02/11/13	02/11/15	0.700%	730		250,000			250,000
Total Purchases						17,750,000			17,750,000
<b>ENDING INVESTMENTS:</b>									
200002524	02/21/13	04/19/13	0.195%	57	7	1,200,000		45	1,200,045
200002522	02/15/13	04/15/13	0.185%	59	13	2,300,000		152	2,300,152
200002519	02/11/13	03/28/13	0.185%	45	17	1,500,000		129	1,500,129
200002514	01/18/13	03/20/13	0.185%	61	41	2,000,000		416	2,000,416
200002517	01/28/13	03/04/13	0.185%	35	31	1,000,000		157	1,000,157
200002531	02/28/13	04/30/13	0.235%	61	0	3,000,000		0	3,000,000
30006431	02/11/13	02/11/15	0.700%	730	17	250,000		82	250,082
Total for End of Period for CD Investments						\$ 11,250,000	\$ 980	\$	\$ 11,250,980

ALVIN COMMUNITY COLLEGE FOUNDATION  
Investment Schedule

Below is a list of Alvin Community College's investments for the period December 1, 2012 through February 28, 2013. All securities have been purchased according to the investment policy approved by the Board of Regents at the August 23, 2012 board meeting.

BEGINNING INVESTMENTS 12/1/12	\$	1,935,475
Increases In Account		
Deposits		1,200
Sales Proceeds/Redemptions		
Dividends		30,999
Interest		3
Capital Gains		5,933
Securities Purchased		36,932
Positive Change in value of priced securities		73,619
Decreases in Account		
Fees		(4,378)
Cash Withdrawals		(10,000)
Funds Used to Purchase Securities		(36,932)
Securities sold/redeemed		
Negative Change in value of priced securities		
ENDING INVESTMENTS 2/28/13	\$	<u>2,032,851</u>