

TO: Board of Regents
 FROM: Deborah Kraft
 DATE: March 6, 2014
 SUBJECT: Investment Transactions Report

Report Date: February 28, 2014

Investment Position:

As of February 28, 2014, Alvin Community College had \$ 10,600,000 invested in seven certificates of deposit purchased through First National Bank-Alvin, one certificate of deposit purchased through Texas First Bank, one certificate purchased through BBVA Compass Bank, one certificate of deposit purchased through Texas Advantage Bank and one certificate of deposit purchased through the CDARS program using Texas Advantage Bar

Summary:

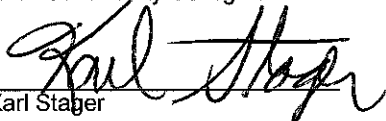
| | | | | |
|--|----|------------------------------------|----|-------------|
| Beginning market value | | 12/1/2013 | \$ | 10,600,000 |
| Additions/changes to the market value: | | | | |
| | a. | sales of CDs | | (9,100,000) |
| | b. | purchases of CDs, value at 2/28/14 | | 15,500,000 |
| Ending market value | | 2/28/2014 | \$ | 17,000,000 |
| Fully accrued interest | | | \$ | 3,970 |

Pooled Funds:

The \$ 17,000,000 currently invested was taken from the following major fund groups:

| | | | |
|----|------------|--------------|----|
| \$ | 10,100,000 | General Fund | 11 |
|----|------------|--------------|----|

We certify that the investments made during this reporting period are in compliance with Alvin Community College's Investment Policy and the Texas Government Code.


 Karl Stager


 Deborah Kraft

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ALVIN COMMUNITY COLLEGE
Investment Schedule

Below is a list of Alvin Community College's investments for the period December 1, 2013 through February 28, 2014. All securities have been purchased according to the investment policy approved by the Board of Regents at the August 22, 2013 board meeting.

| CD No. | Purchase Date | Due Date | Interest Rate | Term | Days Held | Principal | Interest Earned | Accrued Interest | Total |
|---|---------------|----------|---------------|------|-----------|---------------|-----------------|------------------|----------------------|
| BEGINNING INVESTMENTS: | | | | | | | | | |
| 6719567639 | 11/06/13 | 04/06/16 | 1.242% | 882 | | 250,000 | | | 250,000 |
| 30006431 | 02/11/13 | 02/11/15 | 0.700% | 730 | | 250,000 | | | 250,000 |
| 200000050 | 10/21/13 | 12/02/13 | 0.240% | 42 | | 1,100,000 | | | 1,100,000 |
| 200000052 | 11/01/13 | 12/11/13 | 0.155% | 40 | | 1,500,000 | | | 1,500,000 |
| 200000060 | 11/22/13 | 01/08/14 | 0.190% | 47 | | 1,100,000 | | | 1,100,000 |
| Subtotal for CD Investments for Beginning of the Period | | | | | | \$ 4,200,000 | \$ - | \$ - | \$ 4,200,000 |
| SALES: | | | | | | | | | |
| 200000050 | 10/21/13 | 12/02/13 | 0.240% | 42 | | 1,100,000 | 304 | | 1,100,304 |
| 200000052 | 11/01/13 | 12/11/13 | 0.155% | 40 | | 1,500,000 | 255 | | 1,500,255 |
| 200000060 | 11/22/13 | 01/08/14 | 0.190% | 47 | | 1,100,000 | 269 | | 1,100,269 |
| 200000063 | 12/11/13 | 01/17/14 | 0.180% | 37 | | 1,100,000 | 201 | | 1,100,201 |
| 200000065 | 12/16/13 | 01/31/14 | 0.180% | 46 | | 700,000 | 159 | | 700,159 |
| 200000066 | 01/06/14 | 02/14/14 | 0.175% | 39 | | 2,000,000 | 374 | | 2,000,374 |
| 200000069 | 01/17/14 | 02/28/14 | 0.145% | 42 | | 1,600,000 | 267 | | 1,600,267 |
| Total Sales | | | | | | 9,100,000 | 1,828 | - | 9,101,828 |
| PURCHASES: | | | | | | | | | |
| 200000063 | 12/11/13 | 01/17/14 | 0.180% | 37 | | 1,100,000 | | | 1,100,000 |
| 200000065 | 12/16/13 | 01/31/14 | 0.180% | 46 | | 700,000 | | | 700,000 |
| 200000066 | 01/06/14 | 02/14/14 | 0.175% | 39 | | 2,000,000 | | | 2,000,000 |
| 200000067 | 01/06/14 | 03/17/14 | 0.175% | 70 | | 2,000,000 | | | 2,000,000 |
| 200000069 | 01/17/14 | 02/28/14 | 0.145% | 42 | | 1,600,000 | | | 1,600,000 |
| 200000071 | 01/27/14 | 03/03/14 | 0.145% | 35 | | 1,000,000 | | | 1,000,000 |
| 200000073 | 01/30/14 | 04/16/14 | 0.165% | 76 | | 1,500,000 | | | 1,500,000 |
| 200000074 | 01/30/14 | 04/01/14 | 0.165% | 61 | | 1,000,000 | | | 1,000,000 |
| 200000079 | 02/07/14 | 04/01/14 | 0.150% | 53 | | 1,000,000 | | | 1,000,000 |
| 200000081 | 02/20/14 | 03/31/14 | 0.150% | 39 | | 1,500,000 | | | 1,500,000 |
| 200000082 | 02/28/14 | 04/30/14 | 0.155% | 61 | | 1,600,000 | | | 1,600,000 |
| 520890 | 02/21/14 | 02/21/17 | 1.300% | 1096 | | 250,000 | | | 250,000 |
| 1016372518 | 02/27/14 | 02/23/17 | 1.100% | 1092 | | 250,000 | | | 250,000 |
| Total Purchases | | | | | | 15,500,000 | - | - | 15,500,000 |
| ENDING INVESTMENTS: | | | | | | | | | |
| 30006431 | 02/11/13 | 02/11/15 | 0.700% | 730 | 382 | 250,000 | | 1,832 | 251,832 ^a |
| 6719567639 | 11/06/13 | 04/06/16 | 1.242% | 882 | 114 | 250,000 | | 970 | 250,970 ^b |
| 200000067 | 01/06/14 | 03/17/14 | 0.175% | 70 | 53 | 2,000,000 | | 508 | 2,000,508 |
| 200000071 | 01/27/14 | 03/03/14 | 0.145% | 35 | 32 | 1,000,000 | | 127 | 1,000,127 |
| 200000073 | 01/30/14 | 04/16/14 | 0.165% | 76 | 29 | 1,500,000 | | 197 | 1,500,197 |
| 200000074 | 01/30/14 | 04/01/14 | 0.165% | 61 | 29 | 1,000,000 | | 131 | 1,000,131 |
| 200000079 | 02/07/14 | 04/01/14 | 0.150% | 53 | 21 | 1,000,000 | | 86 | 1,000,086 |
| 200000081 | 02/20/14 | 03/31/14 | 0.150% | 39 | 8 | 1,500,000 | | 49 | 1,500,049 |
| 200000082 | 02/28/14 | 04/30/14 | 0.155% | 61 | 0 | 1,600,000 | | 0 | 1,600,000 |
| 520890 | 02/21/14 | 02/21/17 | 1.300% | 1096 | 7 | 250,000 | | 62 | 250,062 ^c |
| 1016372518 | 02/27/14 | 02/23/17 | 1.100% | 1092 | 1 | 250,000 | | 8 | 250,008 ^d |
| Total for End of Period for CD Investments | | | | | | \$ 10,600,000 | \$ - | \$ 3,970 | \$ 10,603,970 |

^a Texas First Bank

^b BBVA Compass Bank

^c Texas Advantage Bank

^d Texas Advantage CDARS (Avenue Bank, John Marshall Bank and WesBanco Bank, Inc.)

ALVIN COMMUNITY COLLEGE FOUNDATION
Investment Schedule

Below is a list of Alvin Community College Foundation's investments for the period December 1, 2013 through February 28, 2014. All securities have been purchased according to the investment policy approved by the ACC Foundation Board of Directors at the October 9, 2012 board meeting.

| | | |
|---|----|------------------|
| BEGINNING INVESTMENTS 12/1/13 | \$ | 2,324,037 |
| Increases In Account | | |
| Deposits | | 47,072 |
| Sales Proceeds/Redemptions | | - |
| Dividends | | 16,676 |
| Interest | | 2 |
| Capital Gains | | 41,324 |
| Securities Purchased | | 58,000 |
| Positive Change in value of priced securities | | 86,669 |
| Decreases in Account | | |
| Fees | | (5,283) |
| Cash Withdrawals | | - |
| Funds Used to Purchase Securities | | (58,000) |
| Securities sold/redeemed | | - |
| Negative Change in value of priced securities | | <u>(69,221)</u> |
| ENDING INVESTMENTS 2/28/14 | \$ | <u>2,441,276</u> |