

TO: Board of Regents
 FROM: Deborah Kraft
 DATE: January 12, 2017
 SUBJECT: Investment Transactions Report

Report Date: November 30, 2016

Investment Position:

As of November 30, 2016, Alvin Community College had \$ 5,500,000 invested in three certificates of deposit purchased through First National Bank-Alvin, one certificate purchased through BBVA Compass Bank, one certificate of deposit purchased through Texas Advantage Bank and two certificates of deposit purchased through the CDARS program using Texas Advantage Bank.


Summary:


Beginning market value		9/1/2016	\$	9,000,000
Additions/changes to the market value:				
	a.	sales of CDs		(9,500,000)
	b.	purchases of CDs, value at 11/30/16		6,000,000
Ending market value		11/30/2016	\$	5,500,000
Fully accrued interest			\$	5,284

Pooled Funds:

The \$ 5,500,000 currently invested was taken from the following major fund groups:
 \$ 5,500,000 General Fund 11

We certify that the investments made during this reporting period are in compliance with Alvin Community College's Investment Policy and the Texas Government Code.


 Karl Stager


 Laurel Joseph


 Deborah Kraft

Alvin Community College
 3110 Mustang Road
 Alvin, TX 77511

(281) 756-3509
dkraft@alvincollege.edu

ALVIN COMMUNITY COLLEGE
Investment Schedule

Below is a list of Alvin Community College's investments for the period September 1, 2016 to November 30, 2016. All securities have been purchased according to the investment policy approved by the Board of Regents at the August 25, 2016 board meeting.

CD No.	Purchase Date	Due Date	Interest Rate	Term	Days Held	Principal	Interest Earned	Accrued Interest	Total
BEGINNING INVESTMENTS:									
6719567639	11/06/13	04/06/16	1.242%			250,000			250,000
520890	02/21/14	02/21/17	1.300%			250,000			250,000
1016372518	02/27/14	02/23/17	1.100%			250,000			250,000
1017566829	02/19/15	02/15/18	1.100%			250,000			250,000
200000283	07/05/16	09/05/16	0.370%			1,000,000			1,000,000
200000284	07/05/16	09/23/16	0.370%			1,000,000			1,000,000
200000287	08/01/16	09/26/16	0.395%			1,700,000			1,700,000
200000288	08/01/16	10/03/16	0.395%			1,200,000			1,200,000
200000291	08/11/16	10/25/16	0.420%			1,800,000			1,800,000
200000293	08/31/16	11/03/16	0.450%			1,300,000			1,300,000
Subtotal for CD Investments for Beginning of the Period						\$ 9,000,000	\$ -	\$ -	\$ 9,000,000
SALES:									
200000283	07/05/16	09/05/16	0.370%	62		1,000,000	628		1,000,628
200000284	07/05/16	09/23/16	0.370%	80		1,000,000	811		1,000,811
200000287	08/01/16	09/26/16	0.395%	56		1,700,000	1,030		1,701,030
200000288	08/01/16	10/03/16	0.395%	63		1,200,000	818		1,200,818
200000291	08/11/16	10/25/16	0.420%	75		1,800,000	1,553		1,801,553
200000293	08/31/16	11/03/16	0.450%	64		1,300,000	1,026		1,301,026
200000294	09/06/16	11/22/16	0.445%	77		1,500,000	1,408		1,501,408
Total Sales						9,500,000	7,275	-	9,507,275
PURCHASES:									
200000294	09/06/16	11/22/16	0.445%	77		1,500,000			1,500,000
200000296	09/23/16	12/07/16	0.415%	75		1,200,000			1,200,000
200000298	10/03/16	01/03/17	0.360%	92		1,200,000			1,200,000
200000307	11/03/16	01/25/17	0.460%	83		2,100,000			2,100,000
Total Purchases						6,000,000	-	-	6,000,000
ENDING INVESTMENTS:									
6737454744	04/06/16	04/06/18	1.242%	730	91	250,000		774	250,774 ^a
520890	02/21/14	02/21/17	1.300%	1096	91	250,000		810	250,810 ^b
1016372518	02/27/14	02/23/17	1.100%	1092	91	250,000		686	250,686 ^c
1017566829	02/19/15	02/15/18	1.100%	1092	91	250,000		686	250,686 ^c
200000296	09/23/16	12/07/16	0.415%	75	68	1,200,000		928	1,200,928
200000298	10/03/16	01/03/17	0.360%	92	58	1,200,000		686	1,200,686
200000307	11/03/16	01/25/17	0.460%	83	27	2,100,000		715	2,100,715
Total for End of Period for CD Investments						\$ 5,500,000	\$ -	\$ 5,284	\$ 5,505,284

^a BBVA Compass Bank

^b Texas Advantage Bank

^c Texas Advantage CDARS (Avenue Bank, John Marshall Bank, WesBanco Bank, Inc., Morton Community Bank and Peoples Security Bank & Trust Co.)

ALVIN COMMUNITY COLLEGE FOUNDATION
Investment Schedule

Below is a list of Alvin Community College Foundation's investments for the period September 1, 2016 through November 30, 2016. All securities have been purchased according to the investment policy approved by the ACC Foundation Board of Directors at the February 17, 2015 board meeting.

BEGINNING INVESTMENTS 9/1/16	\$	2,569,262
Increases In Account		
Deposits		-
Sales Proceeds/Redemptions		-
Dividends		13,024
Interest		1
Capital Gains		-
Securities Purchased		13,023
Positive Change in value of priced securities		13,548
Decreases in Account		
Fees		(5,804)
Cash Withdrawals		(17,500)
Funds Used to Purchase Securities		(13,024)
Securities sold/redeemed		-
Negative Change in value of priced securities		(33,591)
ENDING INVESTMENTS 11/30/16	\$	<u>2,538,939</u>