

TO: Board of Regents  
 FROM: Elizabeth (Beth) Nelson  
 DATE: January 6, 2022  
 SUBJECT: Investment Transactions Report

Report Date: November 30, 2021

Investment Position:

As of November 30, 2021, Alvin Community College had \$ 10,950,000 invested in nine certificates of deposit purchased through First National Bank-Alvin, one certificate of deposit purchased through Texas Advantage Bank, one certificate of deposit purchased through the CDARS program using Texas Advantage Bank and one purchased from Amoco Federal Credit Union.

Summary:

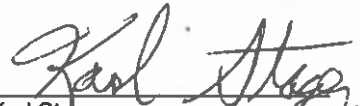
|  |    |                 |               |
|--|----|-----------------|---------------|
| Beginning market value                 |    | 9/1/2021        | \$ 13,250,000 |
| Additions/changes to the market value: |    |                 |               |
|  | a. | Sale of CDs     | (6,900,000)   |
|  | b. | Purchase of CDs | 4,600,000     |
|  | c. | TexSTAR         | 3,511,032     |
| Ending market value                    |    | 11/30/2021      | \$ 14,461,032 |
| Fully accrued interest                 |    |                 | \$ 10,034     |
| Interest earned on Bond Note funds     |    |                 | \$ 131        |

Pooled Funds:


The \$ 14,461,032 currently invested was taken from the following major fund groups:

|               |                           |    |
|---------------|---------------------------|----|
| \$ 10,950,000 | General Fund              | 11 |
| \$ 3,511,032  | 2018 Maintenance Tax Note | 66 |

We certify that the investments made during this reporting period are in compliance with Alvin Community College's Investment Policy and the Texas Government Code.

  
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**ALVIN COMMUNITY COLLEGE**  
Investment Schedule

Below is a list of Alvin Community College's investments for the period September 1, 2021 through November 30, 2021. All securities have been purchased according to the investment policy approved by the Board of Regents at the August 12, 2021 board meeting.

| CD No.  | Purchase Date | Due Date | Interest Rate | Term | Days Held | Principal     | Interest Earned | Accrued Interest | Total         |
|---|---------------|----------|---------------|------|-----------|---------------|-----------------|------------------|---------------|
| <b>BEGINNING INVESTMENTS:</b>                           |               |          |               |      |           |               |                 |                  |               |
| 520890  | 02/21/20      | 02/21/23 | 1.700%        | 1096 | 91        | 250,000       |                 |                  | 250,000 a     |
| 1016372516  | 02/23/20      | 02/23/23 | 1.700%        | 1096 | 91        | 250,000       |                 |                  | 250,000 b     |
| 1861710   | 01/10/19      | 01/10/22 | 3.050%        | 1096 | 91        | 250,000       |                 |                  | 250,000 c     |
| 200000512   | 02/06/20      | 02/05/23 | 1.500%        | 1095 | 91        | 1,000,000     |                 |                  | 1,000,000     |
| 200000574   | 02/01/21      | 09/02/21 | 0.171%        | 213  | 2         | 500,000       |                 |                  | 500,000       |
| 200000575   | 02/01/21      | 09/23/21 | 0.181%        | 234  | 23        | 1,800,000     |                 |                  | 1,800,000     |
| 200000581   | 03/23/21      | 10/04/21 | 0.140%        | 195  | 34        | 500,000       |                 |                  | 500,000       |
| 200000582   | 03/23/21      | 10/22/21 | 0.138%        | 213  | 52        | 1,800,000     |                 |                  | 1,800,000     |
| 200000583   | 03/23/21      | 11/01/21 | 0.138%        | 223  | 62        | 500,000       |                 |                  | 500,000       |
| 200000584   | 03/23/21      | 11/15/21 | 0.143%        | 237  | 76        | 1,800,000     |                 |                  | 1,800,000     |
| 200000585   | 03/23/21      | 12/01/21 | 0.143%        | 253  | 91        | 500,000       |                 |                  | 500,000       |
| 200000586   | 03/23/21      | 12/08/21 | 0.148%        | 260  | 91        | 1,800,000     |                 |                  | 1,800,000     |
| 200000590   | 06/23/21      | 01/19/22 | 0.153%        | 210  | 91        | 1,800,000     |                 |                  | 1,800,000     |
| 200000592   | 07/06/21      | 01/10/22 | 0.146%        | 188  | 91        | 500,000       |                 |                  | 500,000       |
| Subtotal for CD Investments for Beginning of the Period |               |          |               |      |           | \$ 13,250,000 | \$ -            | \$ -             | \$ 13,250,000 |
| <b>SALES:</b>   |               |          |               |      |           |               |                 |                  |               |
| 200000574   | 02/01/21      | 09/02/21 | 0.171%        | 213  | 2         | 500,000       |                 |                  | 500,000       |
| 200000575   | 02/01/21      | 09/23/21 | 0.181%        | 234  | 23        | 1,800,000     |                 |                  | 1,800,000     |
| 200000581   | 03/23/21      | 10/04/21 | 0.140%        | 195  | 34        | 500,000       |                 |                  | 500,000       |
| 200000582   | 03/23/21      | 10/22/21 | 0.138%        | 213  | 52        | 1,800,000     |                 |                  | 1,800,000     |
| 200000583   | 03/23/21      | 11/01/21 | 0.138%        | 223  | 62        | 500,000       |                 |                  | 500,000       |
| 200000584   | 03/23/21      | 11/15/21 | 0.143%        | 237  | 76        | 1,800,000     |                 |                  | 1,800,000     |
| Total Sales   |               |          |               |      |           | 6,900,000     | -               | -                | 6,900,000     |
| <b>PURCHASES:</b>                                       |               |          |               |      |           |               |                 |                  |               |
| 200000596   | 10/05/21      | 02/08/22 | 0.140%        | 126  | 56        | 500,000       |                 |                  | 500,000       |
| 200000597   | 10/25/21      | 02/21/22 | 0.163%        | 119  | 36        | 1,800,000     |                 |                  | 1,800,000     |
| 200000598   | 11/02/21      | 03/03/22 | 0.153%        | 121  | 28        | 500,000       |                 |                  | 500,000       |
| 200000599   | 11/16/21      | 03/24/22 | 0.156%        | 128  | 14        | 1,800,000     |                 |                  | 1,800,000     |
| Total Purchases   |               |          |               |      |           | 4,600,000     | -               | -                | 4,600,000     |
| <b>ENDING INVESTMENTS:</b>                              |               |          |               |      |           |               |                 |                  |               |
| 520890  | 02/21/20      | 02/21/23 | 1.700%        | 1096 | 91        | 250,000       |                 | 1,060            | 251,060 a     |
| 1016372516  | 02/23/20      | 02/23/23 | 1.700%        | 1096 | 91        | 250,000       |                 | 1,060            | 251,060 b     |
| 1861710   | 01/10/19      | 01/10/22 | 3.050%        | 1096 | 91        | 250,000       |                 | 1,901            | 251,901 c     |
| 200000512   | 02/06/20      | 02/05/23 | 1.500%        | 1095 | 91        | 1,000,000     |                 | 3,740            | 1,003,740     |
| 200000585   | 03/23/21      | 12/01/21 | 0.143%        | 253  | 91        | 500,000       |                 | 178              | 500,178       |
| 200000586   | 03/23/21      | 12/08/21 | 0.148%        | 260  | 91        | 1,800,000     |                 | 664              | 1,800,664     |
| 200000590   | 06/23/21      | 01/19/22 | 0.153%        | 210  | 91        | 1,800,000     |                 | 687              | 1,800,687     |
| 200000592   | 07/06/21      | 01/10/22 | 0.146%        | 188  | 91        | 500,000       |                 | 182              | 500,182       |
| 200000596   | 10/05/21      | 02/08/22 | 0.140%        | 126  | 56        | 500,000       |                 | 107              | 500,107       |
| 200000597   | 10/25/21      | 02/21/22 | 0.163%        | 119  | 36        | 1,800,000     |                 | 289              | 1,800,289     |
| 200000598   | 11/02/21      | 03/03/22 | 0.153%        | 121  | 28        | 500,000       |                 | 58               | 500,058       |
| 200000599   | 11/16/21      | 03/24/22 | 0.156%        | 128  | 14        | 1,800,000     |                 | 108              | 1,800,108     |
| Total for End of Period for CD Investments              |               |          |               |      |           | \$ 10,950,000 | \$ -            | \$ 10,034        | \$ 10,960,034 |
| <b>INVESTMENT POOL</b>                                  |               |          |               |      |           |               |                 |                  |               |
| TexSTAR   |               |          |               |      |           | \$ 3,510,901  | \$ 131          | \$ -             | \$ 3,511,032  |

a Texas Advantage Bank

b Texas Advantage CDARS (First National Bank of Michigan and Mutual of Omaha Bank)

c Amoco Federal Credit Union

**ALVIN COMMUNITY COLLEGE FOUNDATION**

**Investment Schedule**

Below is a list of Alvin Community College Foundation's investments for the period September 1, 2021 through November 30, 2021. All securities have been purchased according to the investment policy approved by the ACC Foundation Board of Directors at the February 17, 2015 board meeting.

|                                       |           |                         |
|---------------------------------------|-----------|-------------------------|
| <b>BEGINNING INVESTMENTS 09/01/21</b> | <b>\$</b> | <b>4,453,416</b>        |
| Deposits                              |           | -                       |
| Sales Proceeds/Redemptions            |           | -                       |
| Dividends                             |           | 12,328                  |
| Interest                              |           | 2                       |
| Capital Gains                         |           | -                       |
| Fees                                  |           | (9,811)                 |
| Cash Withdrawals                      |           | -                       |
| Funds Used to Purchase Securities     |           | -                       |
| Change in value of priced securities  |           | <u>(90,371)</u>         |
| <b>ENDING INVESTMENTS 11/30/21</b>    | <b>\$</b> | <b><u>4,365,564</u></b> |